## Liquid Capital Statement

for the month of 31-JUL-21

of M/s. Azee Securities (Pvt.) Ltd.

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	6,447,519	6,447,519	
1.2	Intangible Assets	3,515,000	3,515,000	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
1.4	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.  If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1 E	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities  i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	45,168,351	6,775,253	38,393,098
	respective securities whichever is higher.	45,166,551	6,775,255	30,393,090
	ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
1.4	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in subsidiaries			
	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.8	ii. If unlisted, 100% of net value.  Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository			
	or any other entity.			
1.9	Margin deposits with exchange and clearing house.  Deposit with authorized intermediary against borrowed securities under SLB.	748,358	0	748,358
1.10	Other deposits and prepayments	0	0	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
1.14	Amounts receivable against Repo financing.  Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.) I. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	ii. Receivables other than trade receivables	3,284,693	3,284,693	
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains.			
	ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.  i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value	22,162,174	0	22,162,174
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market	87,686,560	80,058,745	80,058,745
	value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1.18	vi. 100% haircut in the case of amount receivable form related parties.  Cash and Pank halances			
1.10	Cash and Bank balances  i. Bank Balance-proprietory accounts	96,255	0	96,255
	ii. Bank balance-customer accounts	4,571,985	0	4,571,985
	iii. Cash in hand	220,000	0	220,000

## Liquid Capital Statement

for the month of 31-JUL-21

of M/s. Azee Securities (Pvt.) Ltd.

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2 Liabilities 2.1 Trade Payables 2.1 Payable to exchanges and clearing house 3.1 Payable to exchanges and clearing house 3.2 Expande to exchanges and clearing house 3.3 Expande to exchanges and clearing house 3.4 Expande to exchanges and clearing house 3.5 Expande to exchanges and clearing house 3.5 Expande to exchanges and clearing house 4.402,292 0 4.402,292 2.2 Current Liabilities 4. Excruais and other payables 5.5 Extutory and regulatory dues 1. Extutory and regulatory dues 1. Extutory and regulatory dues 1. Excruais and other payables 1. Expanded to expanded	S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
Playable to exchanges and clearing house	1.19	Total Assets	173,900,895	100,081,210	146,250,615
Projective de agentance and clearing house	2	Liabilities			
ii. Pergette against biveraged market products iii. Pergette to customes  2. Current Liabilities ii. Accordance of the pergettes iii. Accordance and other pergettes iii. Accordance and other pergettes iii. Accordance and other pergettes iii. Short-term becombring iii. Pergette of the percent of percent of the perce	2.1				
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Current Liabilities   Liabil			4 402 202	0	4 402 202
Extractory and requisitory dues  II. Access and other payables  III. Stort-term between the provided of the provided and the	2.2		4,402,292	0	4,402,292
ii. Accusals and other payables					
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Current portion of long term isabilities  Via Envision for basid debts  Via Envision for basid debts  Non-Current Liabilities  I Composition of the Isabilities as per accounting principles and included in the financial statements  I Long-Term financing  I Surf reterment promish;  I Surf reterment Regulatory sprovish have been chained a large plate to yet promish;  I Surf reterment Regulatory sprovish have been chained a large plate on yet promish;  I Surf reterment Regulatory sprovish have been chained a large plate on yet promish;  I Surf reterment promish;  I Surf reterment Regulatory sprovish have been chained a large plate on yet promish;  I Surf reterment Regulatory sprovish have been chained a large plate on yet plate the promish;  I Surf reterment Regulatory sprovish have been chained a large plate on yet plate the plate of plate plate plate on yet plate			55,943,031	0	55,943,031
vi. Deferred Liabilities vii. Provision for bad debts viii. Provision for basetion viii. Horision for basetion for the formation of the for					
wii. Provision for Laxalton					
I. Comparison   I. Compariso		vii. Provision for bad debts			
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B. Other long-term financing   B. Staff statement benefits					
iii. Advance against shares for Increase in Capital of Securities broke: 100% halcut may be allowed in respect of advance against shares if:  a. Ihe existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. These is no unescontable delay in Issue of States against Advance and all regulatory requirements d. These is no unescontable delay in Issue of States against Advance and all regulatory requirements d. The state is no unescontable delay in Issue of States against Advance and all regulatory requirements d. The state of the state of the State of States against Advance and all regulatory requirements like the state of the state of States and Issue of					
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assets denominated in foreign cuurency less total liabilities denominated in foreign currency					
3.6   Amount Payable under REPO		assets denominated in foreign currency less total liabilities denominated in foreign currency			
27   2   4   4					
3.7 Repo adjustment	3.1	kepo adjustment			

## Liquid Capital Statement

for the month of 31-JUL-21

of M/s. Azee Securities (Pvt.) Ltd.

Submission Date 16-AUG-2021 20:12:58

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities.  In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received, less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions  If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options  i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts  ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sellI positions  i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	101,191,254	100,081,210	73,540,974